



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 11738.00039)

In the Application of:
Bauhahn et al.
Serial No. 09/982,763
Filed: October 18, 2001
For: PATIENT DIRECTED THERAPY MANAGEMENT

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Group Art Unit: 3762

Examiner: not assigned

PATENT
L. Parks
10-18-02
#3/Sup/1
IDS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicants wish to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO/SB/08A Form.

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REFERENCES

U.S. Patent Documents

1. U.S. Patent No. 4,731,051, issued March 15, 1988;
2. U.S. Patent No. 5,370,672, issued December 6, 1994;
3. U.S. Patent No. 5,938,690, issued August 17, 1999;
4. U.S. Patent No. 6,052,624, issued April 18, 2000;
5. U.S. Patent No. 6,354,299, issued March 12, 2002;
6. U.S. Patent No. 6,381,496, issued April 30, 2002; and
7. U.S. Patent No. 6,393,325, issued May 21, 2002.

Foreign Patent Documents

1. European Patent No. EP 0 811 395 A2, published December 10, 1997;
2. PCT Patent No. WO 96/01665, published January 25, 1996;
3. PCT Patent No. WO 01/39831 A1; published June 7, 2001; and
4. PCT Patent No. WO 01/93952 A1; published December 13, 2001.

Other Prior Art

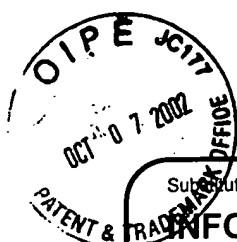
1. PCT International Search Report, 8 pages.

Respectfully submitted,
BANNER & WITCOFF, LTD

Dated: October 7, 2002

By: 

Binal J. Patel
Reg. No. 42,065



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Substitute for form 1449A/PTO		Complete if Known	
TRADE MARK INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/982,763
		Filing Date	October 18, 2001
		First Named Inventor	Ruth Bauhahn
		Group Art Unit	3762
		Examiner Name	n/a
Sheet	1	of	2
		Attorney Docket Number	

U.S. PATENT DOCUMENTS

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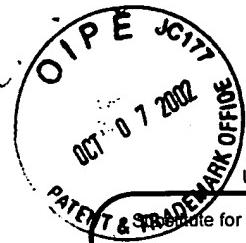
FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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'EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B(10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete if Known

Application Number	09/982,763
Filing Date	October 18, 2001
First Named Inventor	Ruth Bauhahn
Group Art Unit	3762
Examiner Name	n/a
Attorney Docket Number	11738.00039

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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10-08-02

3762

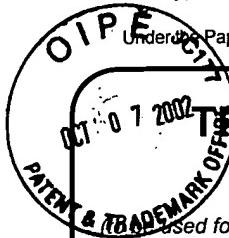
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

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Application Number

09/982,763

Filing Date

October 18, 2001

First Named Inventor

Ruth Bauhahn

Group Art Unit

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Examiner Name

n/a

Attorney Docket Number

11738.00039

ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers
(for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69)
and Accompanying Petition Proprietary Information Affidavits/Declaration(s) Petition to Convert to a
Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation
Change of Correspondence Address Other Enclosure(s)
(please identify below): Express Abandonment Request Terminal Disclaimer

Supplemental Information
Disclosure Statement (2 pages)
Form PTO/SB/08A (2 pages) with
cited reference
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 Information Disclosure Statement Request for Refund Certified Copy of Priority
Document(s) CD, Number of CD(s) _____ Response to Missing Parts/
Incomplete Application

Remarks
The Commissioner is hereby authorized to charge any
deficiencies in payment or credit any overpayment to our
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 Response to Missing
Parts under 37 CFR
1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

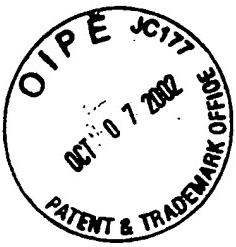
Firm or Individual name	Binal J. Patel
Signature	
Date	October 7, 2002

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I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner of Patents, Washington, DC 20231.

By: Kevol

Application of: Bauhahn et al.

Application No.: 09/982,763

Filing Date: October 18, 2001

Title: Patient Directed Therapy Management

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Transmitted herewith are the following documents:

- Transmittal Form (1 page) in duplicate
- Supplemental Information Disclosure Statement (2 pages)
- Form PTO/SB/08A (2 pages) with cited references
- Return Postcard

Attorney Case No.: 11738.00039